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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Michael D. Ellis

Application No. : 09/974,666 Confirmation No. : 7521

Filed : October 9, 2001

For : SYSTEMS AND METHODS FOR CACHING DATA
IN MEDIA-ON-DEMAND SYSTEMS

Group Art Unit : 2152

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Examiner : Not yet assigned

New York, New York 100-2600
Technology Center 2600

August 29, 2002

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of

the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director of the U.S. Patent and Trademark Office is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to
the following patents and patent publications:

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These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

Applicants also wish to bring the Examiner's attention to U.S. Patent Application Serial No. 09/070,555, filed April 30, 1998 (a copy of this application is enclosed and identified as PCT Publication WO 99/56473), U.S. Patent Application Serial No. 09/775,115, filed February 1, 2001 (a copy of this application is enclosed and identified as PCT Publication WO 01/58158), U.S. Patent Application Serial No. 09/754,753, filed January 4, 2001 (a copy of this application is enclosed and identified as PCT Publication WO 01/50743), U.S. Patent Application Serial No. 09/731,115, filed December 6, 2000 (a copy of this application is enclosed and identified as PCT Publication WO 01/46869, U.S. Patent Application Serial No. 09/589,186, filed June 6, 2000 (a copy of this application is enclosed and identified as PCT Publication WO 01/19086), U.S. Patent

Application Serial No. 09/492,713, filed January 27, 2000
(a copy of this application is enclosed and identified as
PCT Publication WO 00/79798), U.S. Patent Application
Serial No. 09/110,667, filed July 7, 1998 (a copy of this
application is enclosed and identified as PCT Publication
WO 00/02380), U.S. Patent Application Serial No.
09/329,850, filed June 11, 1999 (a copy of this application
is enclosed and identified as PCT Publication WO 99/66725),
U.S. Patent Application Serial No. 09/070,555, filed
April 30, 1998 (a copy of this application is enclosed and
identified as PCT Publication WO 99/56473), U.S. Patent
Application Serial No. 09/139,777, filed August 25, 1998 (a
copy of this application is enclosed and identified as PCT
Publication WO 99/60789), U.S. Patent Application Serial
No. 09/139,798, filed August 25, 1998 (a copy of this
application is enclosed and identified as PCT Publication
WO 99/45700), U.S. Patent Application Serial No.
09/217,100, filed December 16, 1998 (a copy of this
application is enclosed and identified as PCT publication
WO 99/60783), U.S. Patent Application Serial No.
09/070,604, filed April 30, 1998 (a copy of this
application is enclosed and identified as PCT Publication

WO 99/56466), U.S. Patent Application Serial No.

09/054,917, filed April 2, 1998 (a copy of this application is enclosed and identified as PCT Publication WO 99/30491), and U.S. Patent Application Serial No. 09/034,939, filed March 4, 1998 (a copy of this application is enclosed and identified as PCT Publication WO 99/45702).

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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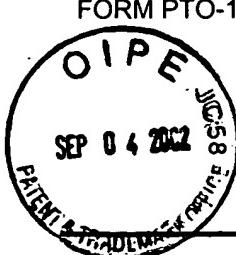
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ATTY. DOCKET NO.
UV/217APPLICANT
Michael D. Ellis et al.FILING DATE
October 9, 2001SERIAL NO.
09/974,666CONFIRMATION
NO. 7521GROUP
2152

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	Re. 35,954	11/10/98	Levine			
	4,355,415	10/19/82	George, et al.			
	4,488,179	12/11/84	Krüger, et al.			
	4,602,279	07/22/86	Freeman			
	4,694,490	09/15/87	Harvey, et al.			
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	4,706,121	11/10/87	Young			RECEIVED
	4,718,107	01/05/88	Hayes			SEP 12 2002
	4,745,549	05/17/88	Hashimoto			
	4,751,578	06/14/88	Reiter, et al.			Technology Center 2600
	4,787,063	11/22/88	Muguet			
	4,847,698	07/11/89	Freeman			
	4,857,999	08/15/89	Welsh			
	4,908,707	03/13/90	Kinghorn			
	4,930,158	05/29/90	Vogel			
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	4,965,825	10/23/90	Harvey, et al.			
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	5,155,591	10/13/92	Wachob			
	5,172,413	12/15/92	Bradley, et al.			

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U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,200,822	04/06/93	Bronfin, et al.			
	5,223,924	06/29/93	Strubbe			
	5,231,493	07/27/93	Apitz			
	5,233,654	08/03/93	Harvey, et al.			
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	5,341,350	08/23/94	Frank, et al.			
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	5,410,344	04/25/95	Graves, et al.			
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	5,539,449	07/23/96	Blahut, et al.			
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	5,541,638	07/30/96	Story			
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	5,548,338	08/20/96	Ellis, et al.			
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	5,557,338	09/17/96	Maze, et al.			SEP 12 2002
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	5,574,778	11/12/96	Ely, et al.			
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	5,600,364	02/04/97	Hendricks, et al.			
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	5,602,582	02/11/97	Wanderscheid, et al.			
	5,606,642	02/25/97	Stautner, et al.			RECEIVED
	5,616,876	04/01/97	Cluts			SEP 05 2002
	5,619,247	04/08/97	Russo			
	5,619,249	04/08/97	Billock, et al.			Technology Center 2100

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U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,619,274	04/08/97	Roop, et al.			
	5,623,613	04/22/97	Rowe, et al.			
	5,625,678	04/29/97	Blomfield-Brown			
	5,629,733	05/13/97	Youman, et al.			
	5,629,867	05/13/97	Goldman			
	5,630,119	05/13/97	Aristides, et al.			
	5,632,007	05/20/97	Freeman			RECEIVED
	5,635,978	06/03/97	Alten, et al.			SEP 1 2 2002
	5,635,987	06/03/97	Park, et al.			
	5,648,824	07/15/97	Dunn, et al.			Technology Center 2600
	5,652,613	07/29/97	Lazarus, et al.			
	5,654,748	08/05/97	Matthews, III			
	5,654,886	08/05/97	Zereski, Jr. et al.			
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	5,659,350	08/19/97	Hendricks, et al.			
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	5,675,743	10/07/97	Mavity			
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	5,699,107	12/16/97	Lawler, et al.			
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	5,710,601	01/20/98	Marshall, et al.			
	5,717,452	02/10/98	Janin, et al.			
	5,721,829	02/24/98	Dunn, et al.			RECEIVED
	5,727,060	03/10/98	Young			SEP 05 2002
	5,732,216	03/24/98	Logan, et al.			

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U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,734,119	03/31/98	France, et al.			
	5,734,853	03/31/98	Hendricks, et al.			
	5,742,443	04/21/98	Tsao, et al.			
	5,745,710	04/28/98	Clanton, III, et al.			
	5,751,282	05/12/98	Girard, et al.			
	5,752,159	05/12/98	Faust, et al.			
	5,752,160	05/12/98	Dunn			
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	5,758,257	05/26/98	Herz, et al.			
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	5,760,821	06/02/98	Ellis, et al.			RECEIVED SEP 12 2002 Technology Center 2600
	5,768,528	06/16/98	Stumm			
	5,774,170	06/30/98	Hite, et al.			
	5,778,182	07/07/98	Cathey, et al.			
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	5,790,423	08/04/98	Lau, et al.			
	5,793,412	08/11/98	Asamizuya			
	5,793,971	08/11/98	Fujita, et al.			
	5,794,217	08/11/98	Allen			
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	5,802,284	09/01/98	Karlton, et al.			
	5,805,154	09/08/98	Brown			

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U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,805,763	09/08/98	Lawler, et al.			
	5,805,804	09/08/98	Laursen, et al.			
	5,805,806	09/08/98	McArthur			
	5,808,608	09/15/98	Young, et al.			
	5,808,694	09/15/98	Usui, et al.			
	5,809,246	09/15/98	Goldman			
	5,812,123	09/22/98	Rowe, et al.			
	5,812,205	09/22/98	Milnes, et al.			
	5,815,146	09/29/98	Youden, et al.			
	5,818,438	10/06/98	Howe, et al.			
	5,819,019	10/06/98	Nelson			
	5,819,160	10/06/98	Foladare, et al.			
	5,822,530	10/13/98	Brown			
	5,830,068	11/03/98	Brenner, et al.			
	5,838,314	11/17/98	Neel, et al.			
	5,841,979	11/24/98	Schulhof, et al.			
	5,844,620	12/01/98	Coleman, et al.			
	5,850,218	12/15/98	LaJoie, et al.			
	5,861,906	01/19/99	Dunn, et al.			
	5,881,245	03/9/99	Thompson			
	5,884,028	03/16/99	Kindell et al.			
	5,886,707	03/23/99	Berg			
	5,886,732	03/23/99	Humbleman			
	5,887,243	03/23/99	Harvey, et al.			
	5,892,915	04/06/99	Duso, et al.			
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Michael D. Ellis et al.	CONFIRMATION NO. 7521
			FILING DATE October 9, 2001	GROUP 2152

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,898,441	04/27/99	Flurry			
	5,898,456	04/27/99	Wahl			
	5,899,582	05/04/99	DuLac			
	5,900,904	05/04/99	Okada, et al.			
	5,903,234	05/11/99	Kimura, et al.			
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	5,911,046	06/08/99	Amano			
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	5,915,090	06/22/99	Joseph, et al.			
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	5,920,702	07/06/99	Bleidt, et al.			
	5,920,800	07/06/99	Schafer			
	5,922,045	07/13/99	Hanson			
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	5,923,361	07/13/99	Sutton, Jr.			
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U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,929,850	07/27/99	Broadwin, et al.			
	5,930,473	07/27/99	Teng, et al.			
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		APPLICANT Michael D. Ellis et al.	CONFIRMATION NO. 7521
		FILING DATE October 9, 2001	GROUP 2152

U.S. PATENT DOCUMENTS (Continued)

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	5,990,881	11/23/99	Inoue, et al.			
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UV/217	SERIAL NO. 09/974,666
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Michael D. Ellis et al.	CONFIRMATION NO. 7521
		FILING DATE October 9, 2001	GROUP 2152

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 60-61935	09/04/85	Japan				
	WO 88/04507	06/16/88	PCT				
	WO 89/12370	12/14/89	PCT				
	WO 90/00847	01/25/90	PCT				
	WO 91/00670	01/10/91	PCT				
	EP 0 424 469 B1	05/02/91	EPO				
	WO 91/07050	05/16/91	PCT				
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	WO 93/08542	04/29/93	PCT				
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	WO 94/14284	06/23/94	PCT				
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	WO 95/01058	01/05/95	PCT				
	WO 95/15658	06/08/95	PCT				

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FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 662 771 A1	07/12/95	EPO				
	EP 0 682 452 A2	11/15/95	EPO				
	WO 95/31069	11/16/95	PCT				
	WO 95/32583	11/30/95	PCT				
	WO 95/32584	11/30/95	PCT				
	WO 95/32585	11/30/95	PCT				

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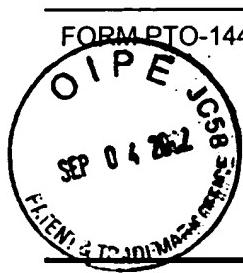
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FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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.	WO 95/32587	11/30/95	PCT				
.	WO 96/09721	03/28/96	PCT				
.	EP 0 711 076 A2	05/08/96	EPO				
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.	WO 96/33572	10/24/96	PCT				
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FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/49237	12/24/97	PCT				
-	WO 97/49242	12/24/97	PCT				
	WO 98/00975	01/08/98	PCT				
-	WO 98/00976	01/08/98	PCT				
	WO 98/01995	01/15/98	PCT				
-	WO 98/06219	02/12/98	PCT			<i>RECEIVED</i>	
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-	WO 98/10589	03/12/98	PCT			<i>Technology Center 2600</i>	
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-	EP 0 854 645 A2	07/22/98	EPO				
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FOREIGN PATENT DOCUMENTS (Continued)

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-	WO 99/12320	03/11/99	PCT				
-	WO 99/27681	06/03/99	PCT				
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-	WO 00/04706	01/27/00	PCT				
-	WO 00/05885	02/03/00	PCT				
-	WO 00/11869	03/02/00	PCT				
-	WO 00/16548	03/23/00	PCT				
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-	WO 00/79798	12/28/00	PCT				
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FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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-	WO 01/35662	05/17/01	PCT				
-	WO 01/46869	06/28/01	PCT				
-	WO 01/50743	07/12/01	PCT				
-	WO 01/58158	08/09/01	PCT				
-	WO 01/78382	10/18/01	PCT				

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